ATTORNEY DOCKET NO. 2004117-0002 (NEMC 197)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Carr, et al.

Examiner:

Landsman, R.S.

Serial No.:

09/428,692

Art Unit:

1647

Filing Date:

October 28, 1999

Title:

NOVEL CHIMERIC ANALGESIC PEPTIDES

Assistant Commissioner of Patents Washington, DC 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26 and 35 U.S.C. § 42(d)

Applicant hereby requests a refund of \$1,710.00 for the above-referenced application. The reasons for the request for refund are set forth below:

Applicant filed a Written Assertion of Small Entity Status under 37 C.F.R. § 1.27(a)(3) on February 5, 2002 in the above-referenced application, a copy of which is enclosed herewith. Also enclosed are copies of the original Transmittal and of the return postcard attesting that the Assertion of Small Entity Status was received by the U.S. Patent & Trademark Office (USPTO) on February 19, 2002.

On March 15, 2002, Applicant filed an Amendment and Response under 37 C.F.R. § 1.111 with authorization to charge any fees to our deposit account. The USPTO subsequently charged our deposit account an excess claim fee in an amount of \$3,420.00 (i.e., Large Entity) on March 20, 2002. A copy of the deposit account statement indicating such charge is enclosed herewith. A Small Entity excess claim fee should have been applied.

Applicant hereby requests a refund of \$1,710.00 to be credited to our Deposit Account No. 03-1721. This request for refund is made within two years from the date of the deposit account statement indicating that the amount paid in excess of that required was charged in this application, therefore the present request is being timely filed.

Page 1 of 2

USSN 09/428,692 3465620v1

Attorney Docket No.: 2004117-0002 Client Reference No.: NEMC 197 Please charge any additional fees or credit any overpayments that may be required to our Deposit Account No. 03-1721.

Date: October 7, 2002

Nadège M. Lagneau, Ph.D. Registration No. 51,908

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I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner For Patents, Washington, D.C. 20231